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# UTILITY PATENT APPLICATION TRANSMITTAL

(for continuation/divisional/continuation-in-part applications under 37 C.F.R. § 1.53(b))

Application No. To Be Assigned  
 Applicant: Richard A. Brandt  
 For: SPORTS RACKET HAVING A UNIFORM STRING STRUCTURE  
 Filed: October 4, 2001  
 Docket No.: 0200528.0006



09/971830

To: Assistant Commissioner for Patents  
 Box: Patent Application  
 Washington, D.C. 20231

## APPLICATION ELEMENTS

1. ☒ Fee Calculation (Box 15) and Authorization (Triplicate copies of this form are enclosed)
2. ☒ Specification and Claims (24) Total Pages
3. ☒ Informal Drawings (18) Total Sheets
4. ☒ Signed Declaration
  - a. ☒ New
  - b. ☒ Copy from a prior application (37 C.F.R. § 1.63(d)) (for continuation/divisional with Box 14 completed).
    - i. ☐ Signed statement attached deleting inventors named in the prior application (37C.F.R. § 1.63(d)(2) and 1.33(b).
5. ☒ Incorporation by reference (useable if Box 4(b) is checked). The entire disclosure of the prior application, from which a copy of the declaration is supplied under Box 4(b), is considered as being part of the accompanying application and is hereby incorporated by reference herein.
6. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper copy (identical to computer copy)
  - c. ☐ Statement verifying identify of above copies.

## ACCOMPANYING APPLICATION PARTS

7. ☐ Information Disclosure Statement (IDS)/PTO-1449
8. ☐ Copies of IDS citations
9. ☒ Preliminary Amendment
10. ☒ Two (2) Return Receipt Postcards
11. ☐ Certified Copy of Priority Document
12. ☐ The benefit under 35 U.S.C. § 119 is claimed of the filing of:
13. ☐ Other:
14. ☒ If a **CONTINUING APPLICATION**, check appropriate box and supply requisite information:
  - ☒ Continuation ☐ Divisional ☐ Continuation-in-Part (CIP)
  - of prior application No.: 09/715,762 title: SPORTS RACKET HAVING A UNIFORM STRING STRUCTURE
  - a1. ☐ Amend the specification by inserting before the first line:
    - This is a <continuation, divisional or continuation-in-part> of Serial No. \_\_\_\_\_ filed \_\_\_\_\_, which was a national stage filing under 35 USC 371 of PCT/XX/\_\_\_\_\_ filed \_\_\_\_\_ which International Application was published by the International Bureau in English on \_\_\_\_\_
  - a2. ☒ Amend the specification by inserting before the first line:
    - This is a continuation of Serial No. 09/715,762 filed NOVEMBER 17, 2000.
  - b. ☐ The prior application is assigned to \_\_\_\_\_

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- c. ☐ Priority of foreign application No. \_\_\_\_\_ filed on \_\_\_\_\_, in the country of \_\_\_\_\_ and foreign application No. \_\_\_\_\_ filed on \_\_\_\_\_, is claimed under 35 U.S.C. §119. A certified copy of the foreign priority application has been filed in prior application Serial No. \_\_\_\_\_ filed on \_\_\_\_\_.
- d. ☐ (Check if applicable) Abandon said prior application as of the filing date accorded this application. A duplicate copy of this form is included for filing in the prior application.
- e. ☒ (Check if applicable) Transfer the drawings from the prior application to this application. A duplicate copy of this form is included for filing in the prior application.

15. ☒ The FILING FEE (including any claims introduced or cancelled by Preliminary Amendment) is calculated below:

CLAIMS				
FOR	NUMBER FILED	NUMBER EXTRA	RATE	BASIC FEE \$355.00
Total Claims	10	0	X \$ 18.00	
Independent Claims	1	0	X \$ 80.00	
Multiple Claims		No	X \$270.00	
TOTAL FILING FEE . . .				\$355.00

16. ☒ A check in the amount of \$355 is enclosed.
17. ☒ The Commissioner is hereby authorized to charge deposit account # 50-1628 any additional fees, which may be required, including all required fees under
- ☒ 37 C.F.R. § 1.16;
- ☒ 37 C.F.R. § 1.17;
- ☒ 37 C.F.R. § 1.18.
18. ☒ **Correspondence Address:**  
 Salans Hertzfeld Heilbronn Christy & Viener  
 620 Fifth Avenue  
 New York, New York 10020

Respectfully submitted,



Maxim H. Waldbaum  
 Reg. No. 26,244

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service to Addressee as Express Mail in an envelope addressed to: Assistant Commissioner for Patents, Box: Patent Application, Washington, D.C. 20231, on October 4, 2001:

Kimberley K. Adams

Name of Registered Representative

Kimberley Adams

Signature

10/4/01

Date of Signature

Respectfully submitted,

SALANS HERTZFELD HEILBRONN  
CHRISTY & VIENER

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